

FIG. 2

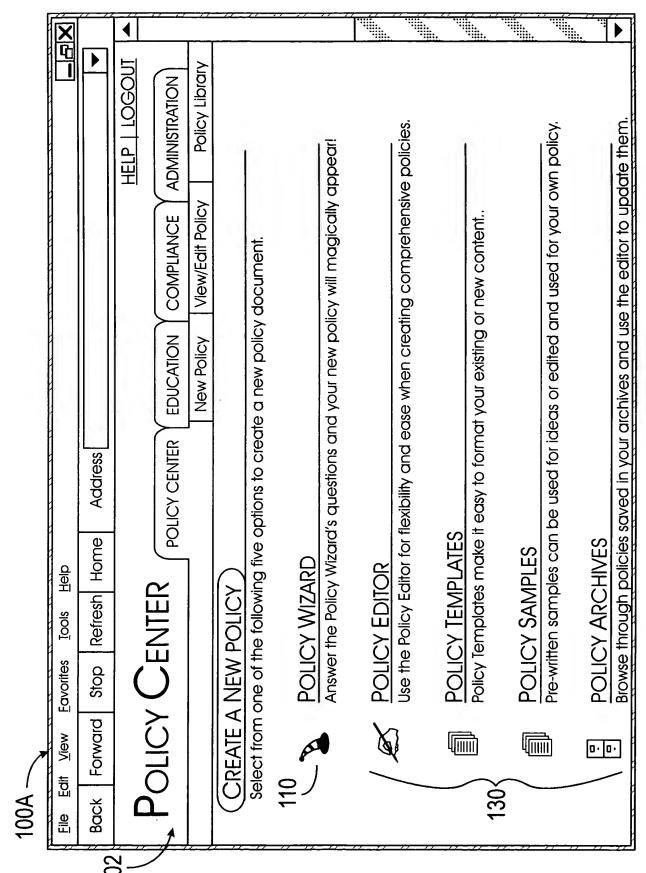


FIG. 3

FIG. 4A

FIG. 4B

FIG. 5A

FIG. 5B

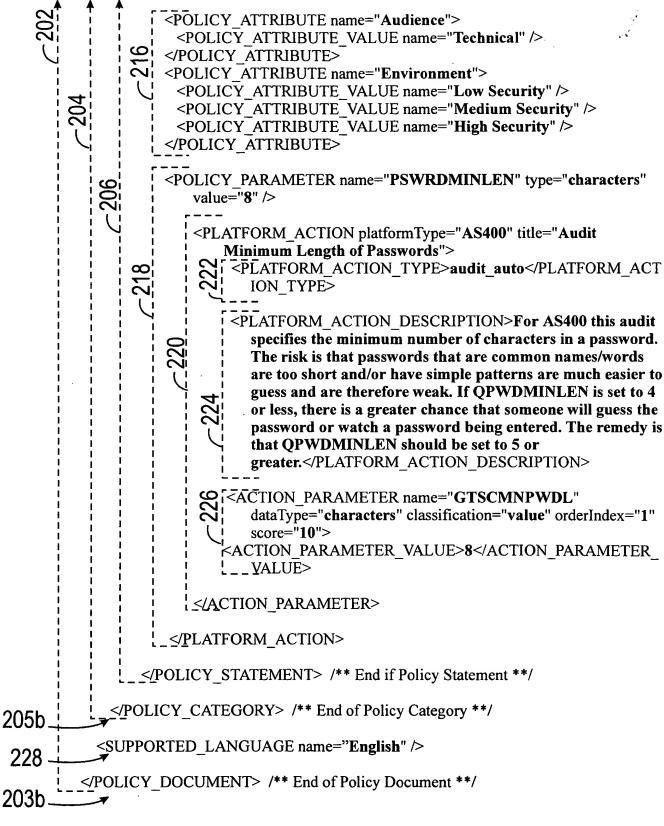


FIG. 6B

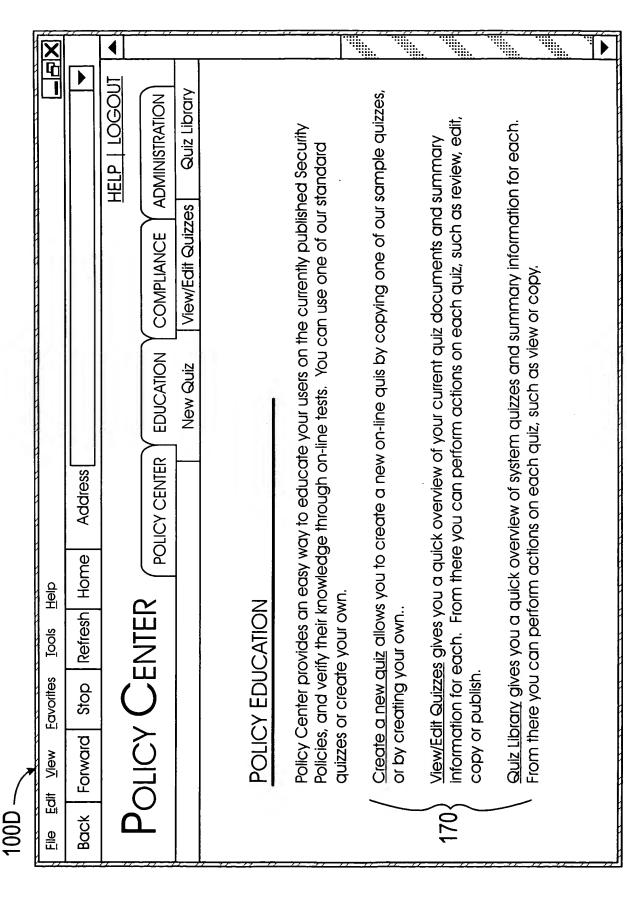


FIG. 7B

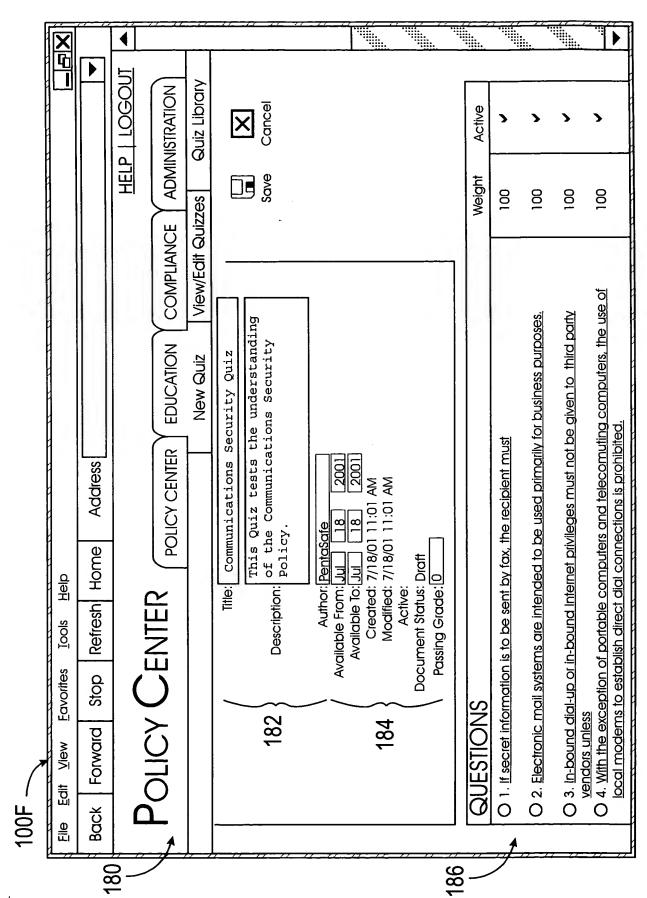
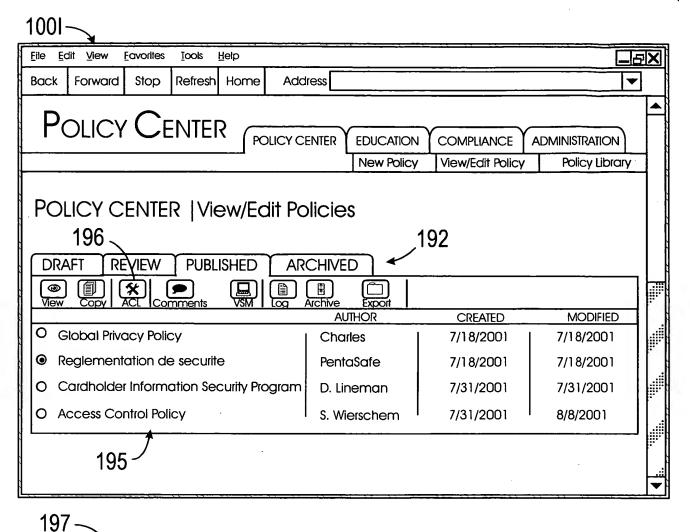


FIG. 7C

FIG. 7D

FIG. 8



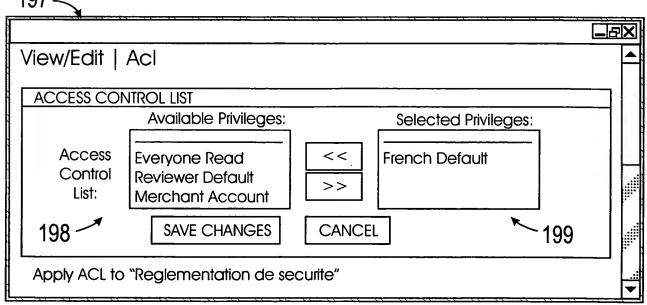


FIG. 9

FIG. 10A

300B

| File Edit View Eavorites Iools Hetp | |
|---|-------------|
| Back Forward Stop Refresh Home Address | ■ |
| C | <u> </u> |
| Security Policies | |
| HOME REPORT A SECURITY INCIDENT LOG OUT | |
| English 🔻 | |
| Printer Friendly Version / | |
| Access Control Policy | |
| Password Construction | |
| User Chosen Passwords Must Not Be Reused — 322a | |
| Users must not construct passwords which are identical or substantially similar to passwords that they previously employed. | |
| [Commentary] — 326a | |
| [Examples] — 328a | |
| Cyclical Passwords Prohibited — 322b | |
| Users are prohibited from constructing fixed passwords by combining a set of characters that do not change, with a set of characters that periodically change. In these prohibited passwords, characters which change are typically based on the month, department, a project, or some other easily-guessed factor. | |
| [Commentary] — 326b | |

329a —

| · · · · · · · · · · · · · · · · · · · | |
|---|----------|
| Policy Statement Example | |
| POLICY EXAMPLES Example #1: For example, if your first password was "dave123", you cannot create another password called, "dave123" until 13 passwords have been created. Close | ∢ |

FIG. 10B

FIG. 10C

合 Security Incident Reporting LOGOUT **ADMINISTRATION** have read and understood your published policies. You can also use this area to report and Security Incident Reporting allows you to report, manage and track security incidents in your The Policy Compliance manager allows you to quickly verify which users in the organization Quiz Reports allow you to view information on what users have taken each quiz, along with individual or groups of users and determine if they have read published policies or taken HELP enterprise. The incident reporting allows you to track critical details about your security <u>Use Reports</u> allow you to track policy compliance by each user. You can either select COMPLIANCE Policy Reports allow you to view compliance for a particular policy document. Quiz Reports Policy Reports **EDUCATION User Reports** POLICY CENTER summary and detailed scores on each quiz. Address Refresh Home ncidents and produce reports. POLICY COMPLIANCE Heb POLICY CENTER frack security incidents. published quizzes Stop Eavorites Forward ∑iew 뎚 230 Back

FIG. 11A

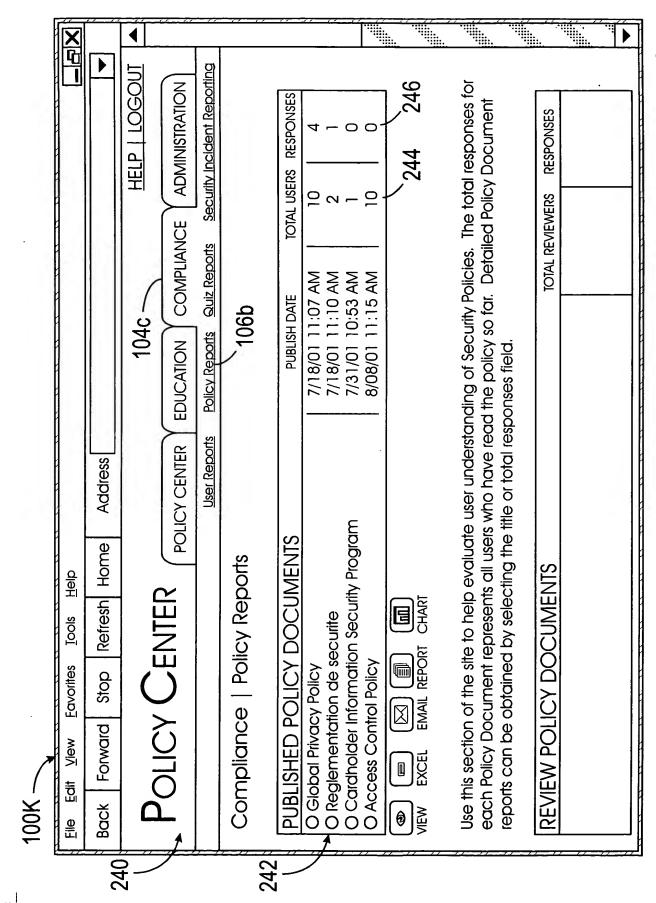


FIG. 11B

FIG. 11C

| | 1 | | | | | : | | <u></u> | *** | | • | | Þ |
|--|------------------------------|-----------------------------|-------------------------------|-----------|--------|----------|----------|---------|----------|----------|-------|--|---|
| <u> </u> | HELP LOGOUT ADMINISTRATION | Security Incident Reporting | Security Self- Assessment | %0 | ×: | ×: | × : | × | 12% | × | | · | |
| | ᅵ | Quiz Reports Secu | Global Privacy Policy Quiz | 64% | × | ×: | × | %12 | 71% | × | | g the quiz. | |
| | | Policy Reports 6 | Global Privacy Policy | × | ×: | ×: | × | > > | × : | × | | ading the policy document and taking the quiz. | |
| Address | POLICY CENTER | User Reports | <u>DEPI.</u> ♥ | l Policy | Policy | Policy | Policy | Policy | Policy | Policy | | the policy doc | |
| Jools Help Refresh Home | | cy Reports | FIRST NAME • LAST NAME • | Wierschem | Reddy | Jones | Lineman | Smith | Lineman | Lineman | | 'ledged reading | |
| Eavorites Stop | Policy Center | Compliance Policy Repo | FIRST NAME | Scott | Savita | gog G | Dave | lee | Dave | Dave | | These users have acknowledged re | |
| Elle Edit <u>V</u> iew Back Forward | POLK | Compliance USER REPORT | <u>USER ID</u> | scott | Savita | <u> </u> | Ulineman | 99 | dlineman | dlineman | | These users | |

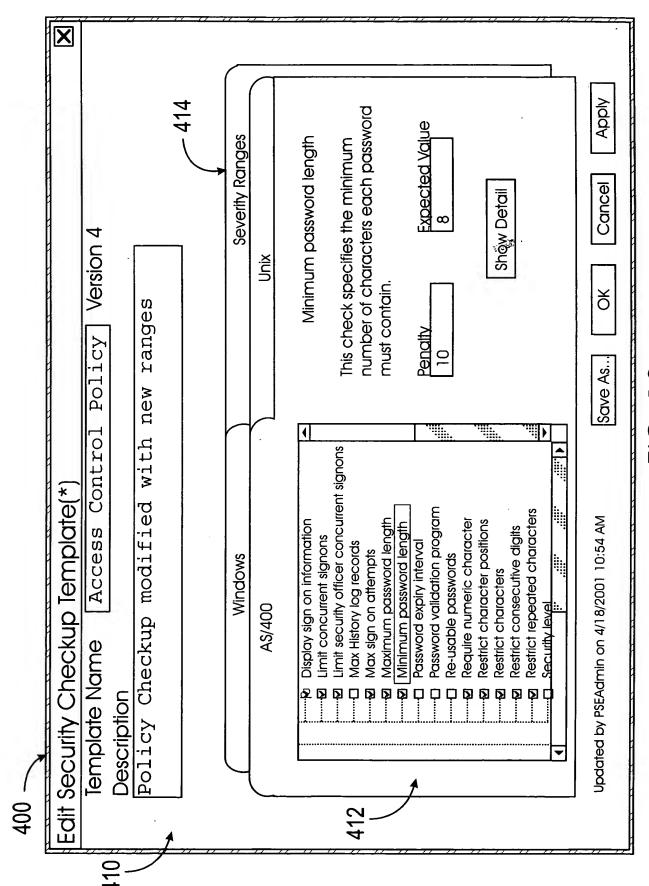


FIG. 12

| | Ignaks Jeted Tasks Jeted Tasks Security Checkup Report 2001/4/18 200 All Windows Server All Unix Server | Server Description Date Submitted | | scurity Manager | Domain pentasafe.c pentasafe.c pentasafe.c pentasafe.c | Intents of Mandows Windows Unix Server NT Server NT Server | Go Auditing Go Auditing Nge 1-1 Nge Incomp Incom |
|--|--|--|--|--|--|---|--|
| | | atch Security Checkup Report 2001/4/18 200 atch Security Checkup Report 2001/4/18 200 atch Security Checkup Report 2001/4/18 200 atch Server All Windows Server All Windows Server All Unix Server All NT Server All Windows Server All NT Serve | Security Manager Security Manager Completed Tasks Comp | Edit View Go Auditing PentaSafe Tools Help and Security Manages Tools Help Contents of My VPC Group Name Contents of My VPC Group Name All Netscape All Netscape All Networks Domains All Networks Server By Mindows Server All Windows Server By Security Manager All Windows Server By Security Manager All Windows Server By Security Checkup Report All Windows Server By Security Checkup Report All Native Server By Native Server By Security Checkup Report All Native Server By Native S | | | All Security Checkup Report |
| Security Manager Pending Tasks Completed Tasks Completed Tasks Batch Security Checkup Report All Mindows Security Checkup Report | Server Description Date Submitted | | | Go Auditing PentaSafe Tools Help Inge All-1 ape ape are Auditing PentaSafe Tools Help Contents of My VPC Group Name Name By Windows Server | 1 | | |
| All Netscape — All Netscape — All Netware — Botch Security Checkup Report — Botch Security Checkup Report — All Netware — All Netware — Completed Tasks — All Netware — All Netwa | All Netscape — All Netscape — All Netscape — All Netware — All | All Netscape | | Go Auditing Pentas | Domain | Name | |
| — | All Exchange ——————————————————————————————————— | ■ All Exchange Name Domain ■ All Fliewall-1 All Fliewall-1 Domain ■ All Network B Windows Server Dentasafe.com ■ All Network Dentasafe.com | All Exchange All Firewall-1 | View Go Auditing PentaSafe Tools | | Contents of My VPC Group | |
| aringe Name Name Name Windows Server Server Server Windows Server Server Windows Server Windows Server Windows Serve | Inge Name Name Name Demain Jil-1 appearing the proposition of My VPC Group Name Name Demain July Server Description Description Description Description Description Description Description Name Demain De | ange Name Contents of My VPC Group Name Domain By Windows Server Duix Server | Contents of My VPC Group Name | View Go Auditing PentaSafe Tools | | | 00 |
| ape and an arrange and a server are an arrange and a server are are are are are are are are are a | ariage Mindows Server Jul-1 ape Avindows Server July VPC Group Name Domain Jul-1 By Windows Server July Windows Server July Windows Server July Windows Server July Server | Inge Inge Name Contents of My VPC Group Name Name Domdin By Windows Server By Windows Server Domdin | Lontents of My VPC Group Ange Name | | | Tools | View Go Auditing |

FIG. 13A

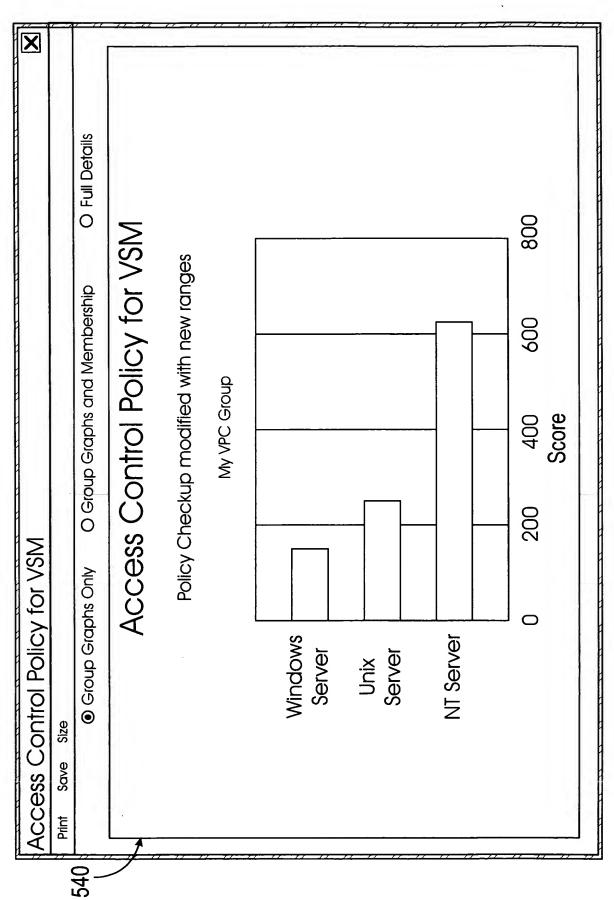


FIG. 13B

| | | `: |
|-------------------|---|---------------|
| | Group | |
| | Last Logon Date 01-Nov-2000 Never logged in Never logged in Never logged in Never logged in 12-Feb-2001 | |
| | Status Enabled Enabled Enabled Enabled Enabled Enabled Enabled Enabled | |
| VSM | Full Name J. Smith J. Doe J. Typer Ser Ser Ser Aser A Password A Password A Password A Password | |
| Ol Policy for V | User Name Administrator Guest Contractor Consultant Temp Delete Us Change Expire Pa Unexpirec | |
| Cess Col | Server qkrollbox.n/a qkrollbox.n/a qkrollbox.n/a qkrollbox.n/a qkrollbox.n/a qkrollbox.n/a | |
| [// // | 220 | · · · · · · · |

FIG. 14